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UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

RULES OF PRACTICE AND PROCEDURE

(as amended effective December 26 February 14, 200+3)

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RULE 1. SCOPE OF RULES

- (a)_Scope. These rules govern practice and procedure before this Court to review decisions of the Board of Veterans' Appeals (Board), and in applications for other relief which this Court or one of its judges is competent to give.
- (b) Rules not to Affect in this Court (the Court).
- (b) Effect on Court's Jurisdiction. These rules do not extend or limit the jurisdiction of thise Court as established by law.

RULE 2. SUSPENSION OF RULES

On its own initiative or on a party's motion, the Court may—to expedite its decision or for other good cause shown, this Court may suspend the application of any cause—suspend any provision of these rules in a particular case and may order proceedings in accordance with its direction, but the Court may not extend the time for filing a Notice of Appeal as it directs, consistent with applicable law and precedent.

RULE 3. HOW TO APPEAL

- (a) Filing. An appeal will be taken Filing. A person adversely affected by a Board of Veterans' Appeals decision may appeal that decision to the Court only by filing a written Notice of Appeal with the Clerk of the Court (Clerk), including transmission by facsimile (fax), within the time allowed by Rule 4(a). A Notice of Appeal may be filed by facsimile sent to the Clerk of the Court. Failure of an appellant to take any step under these rules afterother than the timely filing of a Notice of Appeal does not affect the validity of the appeal, but may be grounds for such action as the Court deems appropriate, which may include including dismissal of the appeal.
- **(b)** Service. The appellant shallmust serve on a copy of the Notice of Appeal on any party (other than the Secretary of Veterans Affairs (Secretary), and any other party) to the proceedings before the Board, a copy of the Notice of Appeal Veterans' Appeals (Board). See Rule 25.
 - (c) Content. The Notice of Appeal shall:
- (1) name the party or parties<u>must --</u>
- (1) show the most recent name, address, and telephone number of the person or persons taking the appeal;
 - (2) designate, and the appropriate Department of Veterans Affairs (VA) claims file number;

- (2) reasonably identify the Board decision appealed from and be able to be reasonably construed, on its face or from the surrounding circumstances, as expressing an intent to seek Court review of that decision; and
- (3)include the addresses of the appellant(s) and of any representative if filed by a representative other than one making a limited appearance, be accompanied by a notice of appearance and its attachments. See Rule 46(d)(2) and (6).

Form 1 in the Appendix of Forms is a suggested, but not required, form offor a Notice of Appeal. An appeal Correspondence will not be dismissed for informality of the liberally construed in determining whether it is a Notice of Appeal.

- (d)_Joint or Consolidated Appeals. If more than one person is entitled to appeal from a decision of the Board and their interests make joinder practicable, they may file a joint Notice of Appeal or may join in an appeal after filing separate timely Notices of Appeal, and they may thereafter proceed on appeal as a single appellant. Appeals may be consolidated by order of the Court on its own initiative or on motion of a partyparty's motion.
- (e) Payment of Fees. Upon the filing of any separate or joint Notice of Appeal from a decision of the Board, each appellant shall include with the Notice of Appeal must be accompanied by a \$50.00 nonrefundable filing fee paid by check or money order payable to "U.S. Court of Appeals for Veterans Claims." If a nappellant who believes that the payment of the fee will impose would be a financial hardship, the appellant may obtain a waiver of the fee by including with the Notice of Appeal filing a declaration of financial hardship on the form prescribed at Form 4 in the Appendix of Forms. See Rule 24. If the Court accepts the declaration, the fee will be waived. If the declaration is rejected for filing by the Court, the fee or an acceptable declaration must be received by the Court within the time set by the Court's order or the appeal will be dismissed. If a facsimile in accordance with Rule 24. If a fax Notice of Appeal is filed, the filing fee or declaration must be received by the Court within not later than 14 days after the facsimile fax was sent.

(f)_Limited Appearance. See Rule 46(d)(6).
RULE 4. WHEN TO APPEAL

(a)

- (g) Addresses and Fax Number. The Court's mailing address is Clerk of the Court, U.S. Court of Appeals for Veterans Claims, 625 Indiana Avenue, N.W., Suite 900, Washington, D.C. 20004-2950. The Court's fax number is (202) 501-5848. The Court's web site is found at www.vetapp.gov.
- (h) Translations. The Court conducts its reviews and deliberations in English. Any document submitted to the Court (including one in the record on appeal) in a language other than English must be accompanied by an English translation.

RULE 4. FILING APPEAL; DOCKETING; BOARD DECISION

- (a) Time for Appeal. To obtain review by the Court of a Board decision, a person adversely affected by that decision must file a Notice of Appeal withinmust be received by the Clerk not later than 120 days after the date on which the Board mailed notice of the decision to the last known address of the appellant and the appellant's authorized representative, if any. A Notice of Appeal shall be deemed to be received:—
- (1) on the date of its legible postmark, affixed by the United States Postal Service (not including a postage-metered date imprint other than one affixed by the United States Postal Service) on the cover in which the Notice of Appeal is posted, if the mailing is properly addressed to the Court and is mailed; or
- (2) on the date of its receipt by the Clerk, if it does not bear a legible postmark affixed by the United States Postal Service, or <u>if</u> it is delivered or sent by means other than United States mail, including <u>facsimilefax</u>.

But see Rule 25(b)(3) as to an incarcerated appellant.

(b) appellant confined in an institution.

(b) Docketing.

- (1) Docketing the appeal. Upon receipt of the Notice of Appeal, the Clerk will docket the appeal, identifying the appellant by name, unless otherwise ordered by the Court.
- (2) Designation of public official. The Secretary will be described as the appellee by name and official title.
- (3) Notice of Docketing. The Clerk shall will send a Notice of Docketing to all parties advising them of the date when the Clerk received the Notice of Appeal.
- (c) Copy of Board Decision. Within Not later than 30 days after the date of the Clerk's Notice of Docketing (see subsection (b)(3)), the Secretary shall must file with the Clerk and serve a on the appellant a copy of the Board's decision, showing --
 - (1) the date on which notice of the decision was mailed, and shall report
- (2) the filing date of any motion for its reconsideration or vacatur and the date and nature of any action on such a motion.

RULE 5. SUSPENSION STAY OF APPELLATE PROCEEDINGS

The Court may

(a) Grounds. On its own initiative or on a party's motion, the Court may stay its proceedings when --

- (1) a motion has been filed for the Board to reconsider or vacate its decision; or
- (2) a pro bono representation program, operating under a grant or contract made under the authority first provided in Public Law No. 102-229, is conducting case evaluation; or
 - (3) it is otherwise in the interest of judicial efficiency or other good cause is shown.

In addition, a joint motion for a stay or stays of proceedings, not to exceed 90 days in total in a particular case, may be granted to allow the parties to negotiate a settlement or joint remand.

(b) Effective Date. Unless and until the Court grants a motion under this rule, such a motion does not suspend proceedings after an appeal has been filed under Rule 4: (1) on motion by the appellant seeking reconsideration by the Board; or (2) by motion of the Secretary for reasons of confession of error, by specifying the error below and the proceedings or remedy deemed to be appropriate on remand. The Court, on its own initiative, may also suspend appellate proceedings. See also Rule 28(b)(2).

RULES 6 and or interrupt preexisting filing schedules.

(c) Combined Motions Prohibited. A motion to stay the Court's proceedings may not be combined with a motion to extend time under Rule 26(b) or any other motion, but must be made and fully justified in a separate motion. The Clerk will return any motion that violates this subsection.

RULE 6. PROTECTION OF PRIVACY

Because Court records are public records, parties will refrain from putting the appellant's or petitioner's VA claims file number on motions, briefs, and responses (but not the Notice of Appeal (see Rule 3(c)(1))); use of the Court's docket number is sufficient identification. In addition, parties should redact the appellant's or petitioner's VA claims file number from documents submitted to the Court in connection with motions, briefs, and responses.

RULE 7. (RESERVED)

RULE 8. STAY OR INJUNCTION PENDING APPEAL

A party requesting immediate action by the Court to stay or enjoin an SUSPENSION OF SECRETARIAL ACTION

PENDING DISPOSITION OF APPEAL OR PETITION

(a) Filing of Motion. After an appeal or petition has been filed, an appellant or petitioner seeking a Court order to suspend action by the Secretary or the Board pending an appeal toproceedings in the Court shall do so by filingmust file with the Clerk a motion and servingserve a copy of the motion on all other parties by an expedited method (including express mail, overnight delivery, facsimile fax or other printed electronic transmission, or hand delivery). The motion will not be accepted by the Clerk unless a Notice of Appeal has been filed.

(b) Content. The motion must --

- (1) state the reason for the relief requested and the facts relied on. If the facts are subject to dispute, the motion must; and
- (2) be supported by affidavits or other sworn statements or copies thereofaddressing any facts in dispute.
- (c) Court Action. The motion normally will be considered by a panel of three or more judges of the Court, but in exceptional cases the motion may be acted on by a single judge pending consideration by a panel.

RULE 9. (RESERVED)

RULE 10. DESIGNATION OF THE RECORD ON APPEAL

- (a) Designation. Within Not later than 60 days after the date of the Clerk's Notice of Docketing, the Secretary shall must file with the Clerk and serve on the appellant (1) a designation of all material in the record of proceedings before the Secretary and the Board that was relied upon by the Board in ruling against the appellant on the issues listed by the Board and (2) any other material from the record which that the Secretary considers relevant to the appeal. The Secretary shall must serve on the appellant a copy of those materials and a list of any record matter which that cannot be duplicated. See also Rule 3(h) (Translations).
- **(b)** Counter Designation. Within Not later than 30 days after the Secretary serves the designation of the record on appeal, the appellant shall must file with the Clerk and serve on the Secretary: either --
- (1) a counter designation of any additional material which that was before the Secretary and the Board and which that the appellant considers relevant to the appeal (see also Rule 3(h) (Translations)), or
- (2)_a statement that the appellant accepts the content of the record as designated by the Secretary. See also Rule-11(c).

Failure of the appellant to do either will be conclusive of constitute the appellant's acceptance of the record as designated by the Secretary.

- (c) <u>Disputes.</u> If any <u>difference dispute</u> arises as to the content of the record on appeal, the Court, on its own initiative or on <u>motion of a party party's motion</u>, <u>shall will</u> resolve the matter. <u>The A party's</u> motion <u>of a party shall must</u> describe the good faith efforts that have been made to resolve the dispute.
- (d) <u>Irrelevant Postdated Materials</u>. The parties should take note that the record on appeal <u>generally</u> may not include <u>materials not relevant to material postdating</u> the <u>issues Board decision</u> on appeal.

RULE 11. TRANSMISSION OF THE RECORD ON APPEAL

- (a) Transmission of the Record. The Secretary shall retains the original claims file and shall The Secretary must transmit to the Clerk two certified copies of the record on appeal to the Clerk, serving and also serve a copy on each party. The Court may direct that additional copies be transmitted.
- (1)_Content._ The record, preceded by a table of contents whichthat must subdivides service medical records by calendar year, must be paginated and contain, in this order:
 - (A) <u>a A</u> photocopy of the Board's decision(s) being appealed; and
 - (B) <u>photocopies of</u> all documents <u>assembled in chronological order, that are</u> agreed or ordered to be part of the record on appeal, <u>assembled in chronological order</u>.
- (2) <u>Time</u>. Unless the Court orders otherwise, the Secretary shallmust transmit the record withinnot later than 30 days after the appellant's counter designation or statement was (A) due under Rule 10:

(b) Supplementation of Transmitted Record.

- (1) Motion. If or (B) served on the Secretary, whichever date is sooner.
- (3) Filing of record; notice to file brief. Upon receiving the record on appeal, the Clerk will file it and notify all parties when the appellant's brief is due. See Rule 31(a)(1).

(b) Supplementation.

(1) Motion. If, after the record on appeal has been transmitted to the Clerk and before the filing of the appellant's brief (see Rule 31(a)(1)), a party believes that any additional part of material the

claims fileat was before the Secretary and the Board is relevant to an issue on appeal, the party may, within 30 days after the record on appeal has been filed with the Clerk, file a motion to supplement the record, identifying the additional material to be transmitted.

- . The motion must identify the material sought to be added to the record on appeal, include a copy of that material if it is in the possession of that party, and describe the steps taken pursuant to Rule 27(a)(4).
- (2) Opposition. A party who believes additional that material sought to be added by another party is beyond the scope of matters relevant to the appeal may, withinnot later than 14 days after service of the motion to supplement, file an opposition to the motion, describing the good faith efforts made to resolve the dispute.
- (3) Supplemented record. Within. The opposition must explain why the material opposed is not relevant to the appeal.
- (3) <u>Supplemental record</u>. Not <u>later than</u> 14 days after the motion is <u>decided granted in whole</u> <u>or in part</u>, the Secretary <u>shall must</u> transmit to the Clerk two certified copies of such supplemental record, assembled in chronological order, paginated, and <u>accompanied preceded</u> by a table of contents, and <u>shall also must</u> serve a copy on <u>the appellant each party</u>.
- (4) Other t<u>Time limits for filing briefs</u>. Unless the Court orders otherwise, supplementation of the record does not extend the time for filing either party's any brief.

(c) Access of Parties or Representatives to Original Record.

- (1) Material not Subject to a Pprotective Oorder. After a Notice of Appeal has been filed, the Secretary shall must permit a party or a representative of a party to inspect and to copy material in the record before the Board. Such inspection and copying shall be subject to reasonable regulation by the Secretary, material in the record before the Board.
- (2) Confidential Finformation. On its own initiative or on motion of a partyparty's motion, the Court may take appropriate action to prevent disclosure of confidential information. See also Rule 48.

RULE 12. DOCKETING THE APPEAL; FILING THE RECORD ON APPEAL

- (a) Docketing the Appeal. Upon receipt of the Notice of Appeal, the Clerk shall enter the appeal upon the docket under the appellant's name, identified as appellant, unless otherwise ordered by the Court.
- (b) Filing the Record or Supplemental Record. Upon receipt of the record on appeal, the Clerk shall file it and notify all parties when appellant's brief is due. See Rules 31 and 47.

RULES 13 and 2 through 14. (RESERVED)

RULE 15. INTERVENTION

- (a) By Right. A party who was allowed to intervene person who participated in the proceedings before the Board may proceed entitled to intervene in a case before the Court as an intervenor without filing by filing with the Clerk a notice of intervention and serving a motion, but shall servecopy on all parties and file with the Clerk, within not later than 60 days after the date of the Clerk's Notice of Docketing in accordance with (see Rule 4(b), a notice of intent to intervene (3)).
- (b) With Permission. Any person who did not intervene participate in the proceedings before the Board and who desiresseeks to intervene in a case before the Court in a proceeding initiated by a Notice of Appeal or a petition for extraordinary relief shall serve on all parties and must file with the Clerk, within 30 days after the filing of a petition motion for extraordinary relief or within permission to intervene and serve a copy on all parties not later than 60 days after the date of the Clerk's Notice of Docketing in accordance with see Rule 4(b), a motion for permission to intervene (3). The motion must contain a concise statement of the interest of the moving person or party and the grounds upon which intervention is sought and should advise the Court of opposition to the motion, if any. A motion for permission to intervene beyond this time limit will be granted only in.
- (c) Extraordinary Circumstances. After the expiration of the time limit set in subsection (a) or (b), intervention will be permitted only on a finding of extraordinary circumstances.

RULES 16 through 20. (RESERVED)

RULE 21. EXTRAORDINARY RELIEF

- (a) Petition; Service. Content, and Filing. A party desiring extraordinary relief must file a petition with the Clerk with proof of service on the respondent(s), on the Secretary (if not a respondent), and any other party atin interest, and on the Secretary. The petition must contain:—
 - (1) a statement of state the precise relief sought;
 - (2) a statement of state the facts necessary to understand the issues presented by the petition;
- (3)a statement of state the reasons why the petition should be granted, including why the petitioner has a clear and indisputable right to the writ and why there are inadequate alternative means to obtain the relief sought; and

(4)

(4) include an appendix containing copies of any order or decision or parts of the recordany other documents necessary to understand the petition.

and support the petition; and

(5) describe any public officer who is a respondent as the respondent by name and official title.

<u>The requirements of Rules 3(e) and 24 (regarding fees) apply to petitions.</u> Upon receipt of the \$50.00 filing fee (unless waived pursuant to Rule 24), the Clerk shallwill docket the petition and submit it to the Court.

(b)_Action on the Petition. Unless the Court concludes that the petition should be denied, it will order the respondent(s) to file an answer to the petition within a time fixed by the order. The order shall be served by the Clerk on the named respondent(s), on the Secretary, and on any other party at interest fixed time and will send copies of the order to all parties. Two or more respondents may answer jointly. Any respondent who does not desire to appear in the proceeding may so advise the Clerk and all parties by letter, but such action will not amount to agreement that the petition should be granted. The Clerk shall will notify the parties of the time limits for the filing of any briefs; and of the date of any oral argument. The proceeding will be given preference by the Court. The petition may be acted upon after reasonable notice of its filing to all parties.

(c) priority by the Court.

(c) Form and Length of Papers; Number of Copies. Except by permission of the Court, the form and length requirements in Rule 32(g) for principal briefs apply to petitions and responses answers thereto, except that a petition or answer may not exceed 20 pages. An original and three copies must be filed with the Clerk, but the Court may direct that additional copies be furnished. The petition must be entitled captioned: "[Name of Petitioner], Petitioner, v. [Name and Title of Respondent], Respondent." See also Rule 6 (Protection of Privacy).

RULES 22 and 23. (RESERVED)

RULE 24. WAIVER OF FILING FEE

Payment of the filing fee required by Rule 3(e) or Rule 21(a) will be waived, due to financial hardship, in any case where the appellant (or petitioner) submits a declaration of financial hardship and that declaration is accepted for filing. That declaration will be subject to the penalty for perjury pursuant to 28 U.S.C. § 1746, and must either be on the form prescribed at Form 4 in the Appendix of Forms or contain the detail called for in that form. If the declaration is found to lack a signature or to be otherwise noncompliant, it will be rejected for filing, and the Clerk will promptly return it to the appellant (or petitioner); within 14 days (44 days if the declaration is filed by a person located outside a state, the District of Columbia, Puerto Rico, or the Virgin Islands) after the return mailing of such a noncompliant declaration; not later than the time fixed by the notice of returned papers, either the fee must be paid or a new declaration that addresses the deficiencies in the noncompliant declaration must be submitted.

RULE 25. FILING AND SERVICE

- (a)_Filing. A paper required or permitted to be filed in thise Court must be filed with the Clerk. See Rule 3(g).
 - (1) *Mail*. Filing may be accomplished by mail addressed to the Clerk.
- (2) Fax. Any paper except a brief filed under Rule 28 may be filed by facsimile (fax) sent to the Clerk at (202) 501-5848 if it is: the paper --
 - $(+\underline{A})$ is preceded by a cover sheet showing the sender's name, address, and telephone and fax numbers; the <u>Court</u> case number and caption; and the number of pages being sent; and
 - $(2\underline{B})$ has numbered pages and is not more than ten $8\frac{1}{2}$ "x11" pages long. The <u>(the</u> page limit does not include the cover sheet <u>or the certificate of service</u> but does include any supporting documents and the <u>certificate of service</u>. A paper may not be split into multiple transmissions to avoid this page limit).

The sender bears the risk of fax transmission. Court personnel will not provide a confirmed copy, and the sender need not telephone the Court to verify receipt. If all or part of. If a transmission is illegible in whole or in part or is incomplete, the Court may, but need not, direct the sender to provide a legible copy by mail.

(b) Timeliness.

- (1) Facsimile Filing or complete copy by mail.
- (3) Confirmation. Confirmation of the filing of any paper by any means may be obtained by accessing the case docket on the Court's web site (see Rule 3(g)).

(b) Timeliness.

(1) <u>Fax filing</u>. A paper may be sent <u>by fax</u> at any time. A paper—except a Notice of Appeal or an <u>Aapplication for Aattorney Ff</u>ees and <u>Expenses--received expenses-received</u> by the Clerk

(A) by fax on any nonbusiness day or

(B) on any business day before 7:00-a.m. Eastern Time on that day

is considered received by the Court on the preceding business day. A Notice of Appeal or an Aapplication for Aattorney Ffees and Eexpenses filed by facsimile will be fax is considered received by the Court on the day on which it is received.

- (2) Other Ppapers. Except as provided in paragraph (1), all papers must be received by the Clerk or deposited in the night box within the time specified for filing. See Rule 45.
- (3) <u>Incarcerated Appellant confined in an institution</u>. A paper filed by an <u>unrepresented self-represented</u> appellant <u>who is an inmate</u> confined in an institution is timely filed if <u>the paper is</u> deposited in the institution's internal mail system within the time specified for filing; <u>and is</u> accompanied by evidence showing the date of deposit and stating that first-class postage has been prepaid.
- (c) Service of Papers Required. A copy of any paper—except a motion to waive the filing fee--filed Notice of Appeal and a declaration of financial hardship—filed by any party or amicus must, at or before the time of filing, be served by a party or amicus on all other parties and amici to the appeal and on any amici. Service on a represented party or amicus must be made on the representative.
- (d) Manner of Service. Service may be personal or, by mail, or by private commercial carrier for delivery not later than 3 calendar days after delivery to the carrier. In addition, service (but not filing, except as provided in subsection (a)(2)) of any paper may be made by fax in a particular case if the proposed recipient has agreed in writing to such service. Personal service includes delivery of the copy to a responsible person at the office of the representative or the office or home of a party without a representative. The Secretary's representative is the General Counsel of the Department of Veterans Affairs, whose address is General Counsel (027), Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, D.C. 20420-0002.
 - (e)_Proof of Service. A paper presented for filing must contain aeither of the following:
- (1) An acknowledgment of service by the person served, showing that person's mailing address of his or her personal service, or
- (2) a statement certified by the person(s) who made service (see sample on reverse side of Form +), showing the date and manner of service and the names and addresses of the persons served. Proof of service may appear on or be attached to the paper filed.

RULE 26. COMPUTATION AND EXTENSION OF TIME

(a)_Computing Time.

(1) <u>General rule.</u> In computing a period of time set by these rules, or by a Court order, or by a statute, the day of the event that begins the period is not included. The last day of the period is included, unless it is a Saturday, Sunday, <u>or</u> legal holiday, <u>or--if</u>: <u>or, if</u> the act to be done is filing a paper in the <u>Court--aCourt</u>, <u>unless it is a</u> day when the Clerk's Office has been closed by direction of the Chief Judge. Notice that the <u>Court is closed will be posted publicly</u>, if <u>circumstances permit</u>, and <u>placed on a recording for telephone callers</u>.

- or when the weather or another condition makes the Clerk's Office inaccessible, as declared by the Court or the Chief Judge.
- (2) Legal holidays. As used in this rule, "legal holiday" means New Year's Day, Birthday of Martin Luther King, Jr.'s Birthday, Washington's Birthday (Presidents Day), Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans Day, Thanksgiving Day, Christmas Day, and any other day declared a holiday by the President or Congress.
- (3) Notices. Notice that the Court is closed or inaccessible will be posted publicly, if circumstances permit, and placed on a telephone recording.
- **(b)** Extension of Time. The Court, on its own initiative or on motion of a party party's motion for good cause shown, may extend the time prescribed by these rules for doing any act, or may permit an act to be done after the expiration of such time (by granting a motion for leave to file out of time or otherwise), but the Court may not extend the time for filing a Notice of Appeal:
- or an application for attorney fees and expenses. Extensions of time for a total of 30 days for any particular filing may be granted for good cause of any nature, including workload. After extensions of time totaling 30 days have been granted for a particular filing, a motion for any further extension based on workload will not be accepted for that particular filing. See also Rule 5(a) (joint motion for stays to negotiate settlement or remand).
- (1) Additional requirements. Effective July 1, 2003, the following additional requirements must be met:
 - (A) A motion to extend the time set by these rules or by an order or notice of the Court for a particular filing beyond a total of 30 days must be filed not less than 14 days before the date sought to be extended;
 - (B) Such a motion must be accompanied by an affidavit or unsworn declaration under penalty of perjury under 28 U.S.C. § 1746 detailing good cause for the extension of time; and
 - (C) If such a motion is received later than the 14-day deadline provided for by subparagraph (A), the motion will not be accepted unless the affidavit or declaration required by subparagraph (B) also details the extraordinary circumstances that caused the late submission.
- (2) Content of motion. In addition to showing good cause for an extension of time, the motion must state the following:

(A) The date to be extended;

(B) the revised date sought;

- (C) the total number of days of extension previously granted to the movant for both the same action and in the case thus far;
- (D) the total number of days of extension previously granted to the other party(ies) in the case; and
 - (E) a statement in compliance with Rule 27(a)(4).
- (3) Opposition. Any opposition must be filed with the Clerk not later than 5 days after the non-moving party is served with a copy of that motion to extend time. The Court will treat the motion as unopposed if no opposition is filed within this period; this period will not be extended for any reason.
- (4) Effect of motion. A motion to extend time does not extend the date on which a pleading or other paper is due to be filed with the Court unless the Court grants that motion. See Rule 5(b).
 - (5) Return of motion. The Clerk will return any motion that violates this rule.
 - (c) Additional Time After Service by Mail.
- (1) Wherever<u>General rule</u>. If a party is required or permitted to do an act, other than the filing of a Notice of Appeal, within a prescribed period after<u>initiated by</u> service of a paper<u>under these rules</u> on that party by another party and the paper is served by mail, 35 days will be are added to the prescribed period for doing that act.
- (2) Whenever such Service overseas by Secretary. If a paper is served by the Secretary in a jurisdiction other than a state, the District of Columbia by mail on an appellant, petitioner, or representative who is located outside the United States, Puerto Rico, or the Virgin Islands, 30 additional days will be are added to the prescribed applicable period.
- (3) <u>Court orders and notices</u>. Additional time <u>under this rule</u> is not added to the periods <u>prescribedset</u> in <u>Court orders and notices issued by the Court or in Rules 4 (Notice of Appeal), 35 (post-decision motions), and 39(a) (attorney fee applications).</u>
- (d) Combined motions prohibited. A motion to extend time may not be combined with any other motion, but must be made and fully justified in a separate motion. The Clerk will return any motion that violates this subsection. See Rule 5(c).

RULE 27. MOTIONS

(a) Content of Motions; Response. Unless another form is required by these rules, an application for relief must be made by filing a motion, with proof of service (see Rule 25(e)) on all other parties. The motion must:—

- (1)_contain or be accompanied by any material required by any of the rules governing such a motion;
 - (2)_state with particularity the specific grounds on which it is based;
 - (3)_describe the relief sought; and,
 - (4)_if the appellant is represented.
 - $(A)_{\underline{a}}$ describe the steps taken to contact the other party to determine whether the motion is opposed; and
 - (B)_indicate- whether the motion is opposed and, if so, whether the moving party has been advised that a response in opposition will be filed.

<u>MA motions</u> should not be accompanied by <u>a proposed implementing orders</u>. If a motion is supported by briefs, affidavits, or other papers, they must be served and filed with the motion.

- (b) AResponse. Unless otherwise prescribed in these rules (see, e.g., Rule 26(b)(3)), any party may file a response or opposition to a motion within not later than 14 days after service of the motion, but motions authorized by Rule 8 (Stay or Injunction Psuspension of Secretarial action pending Aappeal or petition) may be acted upon after reasonable notice of the motion to all parties, and the Court may shorten or extend the time for responding to any motion.
- (bc) Motions for Procedural Orders. Notwithstanding subsection (a) of this rule and except as provided in the next sentence, motions for procedural orders, including any motion for an extension of time under Rule 26(b), may be acted on at any time, without awaiting a response, and, by rule or order of the Court, motions for certain procedural orders may be disposed of by the Clerk. Motions to extend time may be acted on by the Clerk if not opposed within 5 days after service on the other party. See Rule 26(b)(3). Any party who may be adversely affected by such anthe action may, by motion, request that the Court reconsider, vacate, or modify the action within not later than 10 days after the action is announced.
- (cd) Form, Copies, and Length of Papers; Number of Copies. Except by permission of the Court, the form, length, and copy requirements in Rule 32 for principal briefs apply to motions and responses, except that a motion or response may not exceed 20 pages. See also Rule 6 (Protection of Privacy).

RULE 28. BRIEFS

(a) Appellant's Brief. The appellant's brief Appellant must file a brief which, unless the appellant is self-represented (see subsection (h)), must contain the appropriate headings and, in this order the following:

- (1) <u>A</u> table of contents, with page references;
- (2)_a table of cases (alphabetically listed), statutes, and other authorities cited, with references to the page of the brief where they are cited, unless the case is expedited under Rule 47;
 - (3)_a statement of the issues;
- (4)_a statement of the case, showing briefly the nature of the case, the course of proceedings, the result below, and the facts relevant to the issues, with appropriate references to the record on appeal;
- (5) an argument, beginning with a summary; and containing the appellant's contentions with respect to the issues and the reasons for them those contentions, with citations to the authorities and parts of the record on appeal relied on; and
 - (6) a short conclusion stating the precise relief sought.

(b) Secretary's Brief.

- (1) <u>Content.</u> The <u>Secretary's Secretary must file a</u> brief, <u>which</u> must conform to the requirements of subsection (a) of this rule, but a statement of the issues or of the case need not be made unless the Secretary is dissatisfied with the appellant's statement.
- (2) <u>Confession of error</u>. If the Secretary wishes to confess error as to any issue or issues raised by <u>the</u> appellant, but not as to all the issues raised, and the relief <u>that</u> the Secretary deems appropriate as to the confession of error is different from that sought by the appellant, the Secretary <u>shallmust</u> include a statement of concession in the brief and identify the relief thereunder that <u>is deemed the</u> <u>Secretary considers</u> appropriate.
 - (c)_Reply Brief. The appellant may file a brief in reply to the Secretary's brief.
- (d) Other Briefs. Briefs may be filed by intervenors as directed by the Court. No further briefs other than those described in this rule may be filed except with the Court's permission.
- (e) Motions Prohibited. A motion in lieu of a brief will not be accepted from any party. A motion may not be included as part of any brief.
- (df)_References to the Record. References in the briefs to the record<u>on appeal</u> must be to the pages as transmitted by the Secretary. Commonly understood abbreviations may be used.
- (eg) Reproduction of Materials. If determination of the issues requires the study consideration of superseded statutes, rules, or unpublished authorities, relevant parts must be reproduced in the brief or in an appendix. Documents in the record on appeal may not be reproduced in or attached to the brief.

- (fh) Multiple Appellants. In cases involving more than one appellant, including consolidated cases, any number of appellants may join in a single brief, and any appellant may adopt by reference any part of the brief of another. Appellants may similarly join in reply briefs.
- (g) Citation of Supplemental Authorities. When pertinent and significant authorities come Text Was Moved From Here: 1
- (h) Brief of Unrepresented Appellant. An unrepresented appellant (i) Brief of Selfrepresented Party. A self-represented party (but no other party) may file an informal brief on the form prescribed provided by the Court. All other briefs must conform to the requirements of these rules.

RULE 29. BRIEF OF AN AMICUS CURIAE

- (a) Time. A brief of an amicus curiae must be filed within the time allowed the party whose position it the amicus curiae supports unless the Court permits later filing, in which event the Court will specify the time limit for an opposing party's response. An amicus curiae will be permitted to participate in oral argument only at the invitation of the Court.
- (b) Form and Content. An amicus brief must comply with Rules 28(a)(1), (5) and (6); 28(d), (e), (gf) and (ig); 30; and 32; and state, at the outset of the brief, which party the amicus <u>curiae</u> supports and the interest of the amicus <u>curiae</u>. The brief should avoid repeating the parties' briefs and should focus on the points not made or not emphasized in them.

RULE 30. CITATION OF NONPRECEDENTIAL CERTAIN AUTHORITY

- (a) <u>Citation of Nonprecedential Authority.</u> A party, intervenor, or amicus curiae may not cite as precedent any action of this Court that is:
 - (1) taken by a single judge;
 - (2) not published in the Veterans Appeals Reporter; or
 - (3) designated as nonprecedential by the Court or any other court or that was withdrawn after having been published in the Veterans Appeals Reporter.
- (b) A person may refer to an action described in Rule 30(a)(1), (2), or (3) a Reporter. Such an action may be referred to only when the binding or preclusive effect of that action (such as via the application of the law-of-the-case doctrine), rather than its quality as precedent, is relevant. A copy of the action citedreferred to must be attached to the document containing such a reference.

the reference.

(b) Citation of Supplemental Authority. When pertinent and significant authority comes Text Moved Here: 1

to the attention of a party after the party's brief has been filed or after oral argument but before the decision, a party shallmust promptly advise the Clerk, by letter, with a copy to all other parties, setting forth the citationscitation(s). If the authority is not readily available in a Reporter system, the party shallmust provide the Clerk with a copy. The letter must refer to the page of the brief or to a point argued orally to which each citation pertains, and the letter must state without argument the reasons for the supplemental citationscitation(s). Any response must be made promptly and must be similarly limited.

End Of Moved Text

RULE 31. FILING AND SERVICE OF BRIEFS

- (a)_Time Limits. Except in cases covered by Rule 47 (Expedited Consideration); --
- (1) the appellant shall<u>must</u> serve and file a brief within 30 not later than 60 days after the date of the Clerk's notice from the Clerk that the record has been filed;
- (2) Tthe Secretary shall must serve and file a brief within 30 not later than 60 days after service of the appellant's brief: and
- (3) Tthe appellant may serve and file a reply brief within not later than 14 days after service of the Secretary's brief, but, except for good cause shown, any brief must be filed at least 3 days before argument. Service must be pursuant to Rule 25 (Filing and Service).
- **(b)** Effect of Failure to File. If an appellant fails to file a brief within the time provided by this rule, or within the time as extended, the Court, on its own initiative or on motion by the Secretary, may dismisstake appropriate action, to include dismissal of the appeal. If the Secretary fails to file a brief or other response, the Court may take appropriate action.

RULE 32. FORM OF BRIEFS, APPENDICES, AND OTHER PAPERS

- (a) Format. Briefs, appendices, and other papers must be printed or typewritten, and may be produced by any copying process that produces a clear black image on white opaque paper, and; onion skin paper is not permitted except for papers sent by international mail. Pages must be letter size (8 ½ by 11 inches), with margins at least one inch wide from all edges, and with type or print on only one side of the page. See also Rule 6 (Protection of Privacy).
- (b) Type; Spacing. The type or print must be at least 11 points with horizontal spacing (pitch) of no more than 11 If a proportionally spaced typeface is used, it must be 13-point or larger. If a monospaced typeface is used, it must not contain more than 10½ characters per inch, for both text and footnotes. Text must be double spaced (except that motions and responses under Rule 27(bc) may be single spaced), with no more than three lines of type per inch, but quotations more than two lines long

and footnotes may be single spaced. The parties may not use photo reproduction that reduces print size smaller than the size required by this <u>rulesubsection</u>. This subsection does not apply to pages of an appendix that are legible, unreduced photocopies of documents <u>of record</u>.

- (c)_Covers. Covers are not required on briefs or appendices but, if used, they should be blue for the appellant, red for the Secretary, green for an amicus curiae or an intervenor, gray for any reply brief, and white for an appendix if separate from the brief. See Form 2 (Sample Brief Cover).
- (d)_Binding. All papers, other than the record on appeal, must be attached at the upper left-hand corner. The record on appeal must be bound at the top.
- (e)_Caption. A paper addressed to the Court must contain a caption setting forth the name of thise Court, the Court's case number when assigned, the title of the case, the Department of Veterans Affairs claims file number, and a brief titleheading indicating the purpose of the paper.
- (f) Page Numbers. Pages must be numbered in the center of the bottom margin, using Arabic numerals for the pages subject to the page limitation and lower case Roman numerals for the table of contents, tables of citations, certificate of service, and any appendix containing statutes, rules, and regulations, and unpublished authorities.
- (g)Page Limits Length and Number of Copies. Except by permission of the Court or as limited by Rule 47, principal briefs may not exceed 30 pages and reply briefs may not exceed 15 pages, not counting the table of contents; the table of citations; any appendix containing superseded statutes, rules, and regulations, and unpublished authorities; and the certificate of service. An original and three copies of all papers must be filed with the Clerk, but the Court may require that additional copies be furnished. But see Rule 25 concerning (fax filings).
- (h)_Identification of Proponent. The signature, printed name, address, and telephone number of the representative of record (see Rule 46(d)(1)) and or of an unrepresented self-represented party must appear on a brief or other document being filed with the Clerk.

RULE 33. APPEAL CONFERENCE

- submitted for filing to the Clerk.
- (i) Noncompliance. The Clerk will return papers submitted for filing that are not in compliance with this rule.

RULE 33. STAFF CONFERENCE

(a) Participation. The Court may direct the representatives and parties without representatives self-represented parties to participate in a prehearing staff conference, in person or by telephone, to consider simplification refinement of the issues and such other matters as may help the

Court resolve the case. <u>TWhen necessary following such a conference</u>, the Court will enter an appropriate order to control future proceedings.

- _____This rule does not prevent the parties from discussing settlement or agreeing to dismiss the appeal at any time before argument or submission of the case. See also Rule 34(f).
- (b) Nondisclosure to Judges. Statements made during a conference may not be disclosed to a judge of the Court as having been made during a conference unless the parties agree in writing to such disclosure. This subsection does not apply to a conference which that has failed to resolve a dispute about the content of the record on appeal.

RULE 34. ORAL ARGUMENT

- (a) In General. Oral argument will be allowed only when ordered by the Court and will be held where and when the Court orders. Oral argument normally is not granted on nondispositive matters. The Court may order oral argument on its own initiative or on the motion of a party filed with that party's principal brief. The appellant may also party's motion filed not later than 14 days after the reply brief is due or filed, whichever is sooner. A motion for oral argument may not be included in any brief. A party may request that oral argument be held at a particular law school in a location other than Washington, D.Cthe United States.
- (b) Notice of Argument; Postponement: Additional Time. The Clerk shallwill advise all parties whether and issue a public order as to when and where oral argument is to be heard, and, if so, where and when, and the time to be allowed each sideparty, and the judge or judges assigned to the case. Where possible, the Clerk will schedule oral argument so as to minimize inconvenience to appellants or their representatives the parties. A request for postponement of the argument or for the allowance of additional time must be made by motion filed reasonably in advance of the date fixed for argument and must contain a showing of good cause.
- (c) Order and Content of Argument. The appellant may will generally open and conclude the argument. A party will not be permitted to read at length from briefs, records, or authorities. In argument on motions, the movant may will generally open and conclude the argument.
- (d)_Non=appearance of Parties. If any party fails to appear to present argument, the Court will hear argument by any appellant who is present, and may hear argument by any other party who is present. If no party appears, the case will be decided on the briefs and the record on appeal unless the Court orders otherwise.
- (e) Use of Physical Exhibits at Argument; Removal. A party who intends wishes to use physical exhibits other than documents shall must arrange with the Clerk to have them placed in the courtroom on the date of the argument before the Court convenes. After the argument, the party shall must remove the exhibits unless the Court otherwise directs. If the exhibits are not reclaimed within a reasonable time after notice is given by the Clerk, they will be disposed of by the Clerk.

(f)Motions. Oral argument normally is not granted on motions.

(g)Oral Argument. Oral argument will be held as announced by the Chief Judge. The announcement will indicate the composition of the panel, although there is no guarantee that the panel on the argument date will be identical to that announced since a judge may be recused from a case or, for a number of reasons, may be unavailable.

(f) Settlement. When the parties enter into negotiations for settlement, they must jointly advise the Clerk of that status as soon as possible. Any notice of settlement must be filed with the Clerk not later than 3 days before the day of a scheduled oral argument on the case.

RULE 35. MOTIONS FOR RECONSIDERATION, OR FOR DECISION BY A PANEL OR BY THE FULL COURT

- (a) Motion for Reconsideration. A party in a case dismissed by the Clerk pursuant to Rule 45(i) may move for reconsideration by the Clerk. If the Clerk denies such reconsideration, the matter will be referred for decision by a judge. A party in a case decided by a single judge may move for reconsideration by the single judge. A party in a case dismissed by the Clerk pursuant to Rule 45(i) may move for reconsideration by the Clerk. A party in a case decided by a panel may move for reconsideration by the panel. A party in a case decided by the full Court may move for reconsideration by the full Court.
- **(b)_Motion for Panel Decision.** A party in a case decided by a single judge may move for <u>a</u> decision by a panel of the Court.
 - (c) Motion for Full Court Decision. A party may move for a decision by the full Court --
 - (1) initially, or-
 - (2) after a panel has decided a case, or-
 - (3) after a panel has denied a motion for a panel decision or for reconsideration.

A motion for <u>a</u> decision by the full Court in a case decided only by a single judge is not permitted. Motions for <u>a</u> full-<u>-</u>Court decision are not favored. Ordinarily they will not be granted unless such action is necessary to secure or maintain uniformity of the Court's decisions or to resolve a question of exceptional importance.

(d)_Time for Motion.

(1) <u>Clerk or single-judge action.</u> A motion for Clerk reconsideration or single-judge decision, or both, and a motion for single-judge reconsideration or a motion for both single-judge reconsideration and a panel decision, must be filed within 121 days

- (51 days if the motion is filed by a personan appellant, petitioner, or representative located outside a state, the District of Columbia the United States, Puerto Rico, or the Virgin Islands) after the date of the Clerk's or single-judge's decision.
- (2) <u>Panel action.</u> A motion for panel reconsideration, for <u>a</u> full-Court decision, or for both, must be filed <u>withinnot later than</u> 21 days (51 days if the motion is filed by <u>a personan appellant</u>, <u>petitioner</u>, or representative located outside <u>a state</u>, the <u>District of Columbiathe United States</u>, Puerto Rico, or the Virgin Islands) after the date of the initial panel decision or order denying a motion for <u>a</u> panel decision.
- (3) <u>Initial full-Court consideration</u>. A motion for initial consideration of a case by the full Court must be filed <u>within not later than</u> 30 days after the date on which the appellant's brief was served.
- (4) <u>Other full-Court consideration</u>. A motion for reconsideration of a case by the full Court must be filed within 121 days (51 days if the motion is filed by a person an appellant, petitioner, or representative located outside a state, the District of Columbia the United States, Puerto Rico, or the Virgin Islands) after the date of the initial full—Court decision.
- (e)_Content of Motion. A motion under this rule must contain a supporting argument. In addition:___
- (1)_a motion for a panel decision or a motion for single-judge, panel, or full-_Court reconsideration must state the points of law or fact that the party believes the Court has overlooked or misunderstood, and
 - (2)_a motion for a full-Court decision must state __
 - (A) how such action will secure or maintain uniformity of the Court's decisions or-
 - $(B)_{\underline{\ }}$ what question of exceptional importance is involved.
- (f)_Form; Length; Number of Copies. Except by the Court's permission, a motion or response (including any supporting memorandum or brief) under this rule must may not exceed 15 pages. The motion must otherwise comply with Rule 27, but it need not indicate whether it is opposed. A motion for a_full-_Court decision, and any response, must be filed in an original and 7 copies.
- (g)_Response; Action on the Motion. No response to a motion under this rule may be filed unless it is requested by the Court, but a motion for a panel or full-Court decision ordinarily will not be granted without such a request. A motion for reconsideration will be decided by the judge or panel that rendered the decision. A motion for a panel decision will be referred to a panel. A motion for a full-Court decision will be referred to all of the judges. Consideration by the full Court requires the vote of a majority of the judges. The Clerk shall will return an untimely motion or one that fails to include the statement required by subsection (e) of this rule.

RULE 36. ENTRY OF JUDGMENT

Unless the Court orders otherwise, the judgment will be entered after the time allowed in Rule 35(d)(1), (2), or (24) has expired, or after the Court has acted on a timely motion for single-judge or panel reconsideration or for panel decision. The filing of a motion for full Court decision or reconsideration will not postpone entry of the judgment filed under Rule 35(a), (b), or (c). Entry of the judgment begins the 60-day time period for any appeal to the United States Court of Appeals for the Federal Circuit

RULE 37. (RESERVED)

RULE 38. FRIVOLOUS APPEALS FILINGS

If the Court determines that an appeal, <u>petition</u>, <u>motion</u>, <u>or other filing</u> is frivolous, it may enter such order as it deems appropriate.

RULE 39. ATTORNEY FEES AND EXPENSES

- (a) Time for filing Application. An application pursuant to 28 U.S.C. § 2412(d) for award of attorney fees and/or other expenses in connection with an appeal or petitiona case must be filed with the Clerk withinnot later than 30 days after thise Court's judgment becomes final, pursuant to 38 U.S.C. § 7291(a) (which occurs 60 days after entry of judgment under Rule 36) or, consistent with Rule 41(b), upon the issuance of an order on consent dismissing, terminating, or remanding a case. The time (which is a jurisdictional requirement set by the statute) for filing an application under this subsection may not be extended. See Rule 25 (Filing and Service).
- (b) Supplemental filing and service). The application must include the fees and expenses claimed for the submission of that application.
- (b) Response. Not later than 30 days after the date on which an application described in subsection (a) or a supplemental application described in subsection (c) or (d) is filed, the Secretary must file and serve a response to that application or supplemental application. An appellant or petitioner The response must state which elements of the application or supplemental application are not contested and explain the Secretary's position on those elements that are contested.
- (c) Reply. Not later than 30 days after service of any response by the Secretary, the applicant may file and serve a reply addressing those matters contested by the Secretary. Any reply involving a supplemental application described in subsection (d) must include a supplemental application that includes the fees and expenses claimed for the submission of that reply.

(d) Supplemental Application.

(1) General. Except as provided in paragraphs (2) or (3) of this subsection and in subsection (d), a party whose application described in subsection (a) of this rule has been granted in whole or in part may, not later than 3020 days after the Court action granting such application, file a supplemental application for attorney fees and other expenses in connection with the submission or defense of such subsection (a) application. See Rule 25.

(c) Response. Within 30

- (2) Appeals to the Federal Circuit. When an action on an application appealed to the United States Court of Appeals for the Federal Circuit is returned to the Court and the application has been granted in whole or in part by any court, any supplemental application (over which the Court has jurisdiction) based on representation provided in that appeal may be filed in the Court not later than 20 days after the date on whichmandate is issued by that court. See FED. R. APP. P. 41; FED. CIR. R. 41.
- (a) orappealed to the Supreme Court. When an action on an application described in subsection (a) orappealed to the Supreme Court is returned to the Court and the application has been granted in whole or in part by any court, any supplemental application (over which the Court has jurisdiction) based on representation provided in that appeal may be filed in the Court not later than 20 days after the expiration of the time for filing a petition for a rehearing by the Supreme Court. See SUP. CT. R. 45.
- (4) <u>Preparation costs</u>. A supplemental application must include the fees and expenses claimed for the submission of that supplemental application.
- (5) Timing of supplemental responses and replies. When a supplemental application described in subsection (b) is filed, the Secretary shall file and serve is filed under paragraph (2) or (3) of this subsection, the Court will issue an order specifying the timing of responses and replies, in order to ensure that all previous applications have been resolved before requiring a response to the application or supplemental application are not contested and explaining the Secretary's position on those elements that are contested.
- (d) Reply. Within 30 days after service of the Secretary's response, the applicant may file and serve a reply addressing those matters contested by the Secretary.
- (e) <u>next application.</u>
- (e) Appendices. The parties shall filemust attach as appendices to the application, response, and replyany pleading filed under this rule those relevant papers which that are not already before the Court.

RULE 40. (RESERVED) RULES ADVISORY COMMITTEE

- (a) General. The Court will have a Rules Advisory Committee (Committee) for the study of, and advice to the Court on possible changes to, rules of the Court, either sua sponte or at the request of the Court.
- (b) Appointment. The Chief Judge, with the concurrence of the Court, will appoint nine members of the Court's bar to serve on the Committee, eight as members and one as the Chair. At least two members of the Committee will be attorneys employed by the Department of Veterans Affairs (Department). The membership of the Committee as of the date of the adoption of this rule will continue under this rule.

(c) Terms.

- (1) Length of terms. The term of a member continued under subsection (b) will expire on June 30, 2003, unless, on that date, the member (A) has served on the Committee for less than three years or (B) is serving as the Chair. Except as provided in paragraph (3) of this subsection, each member appointed thereafter and each new Chair appointed will be appointed for a term of two years. Notwithstanding the terms provided for in the preceding sentence, the term of any person serving by virtue of employment by the Department will end automatically at such time as the person is no longer so employed.
- (2) Reappointment. Except as provided in the next two sentences, a member may serve three terms consecutively; a break in service permits a new series of three consecutive terms. The person serving as the Chair on January 1, 2003, will be permitted to so serve to the end of his or her current term as the Chair and, upon the end of that term, may be appointed as the Chair, or as a member, of the Committee for one additional consecutive term only. A person may be appointed to three consecutive terms as the Chair notwithstanding any term or terms as a member; a break in service as the Chair permits a new series of such terms or a new series of three terms as a member. There is no limit on the number of nonconsecutive terms to which any person may be appointed as a member or the Chair of the Committee. A member or the Chair may continue to serve until a successor has been appointed. If a member or the Chair holds over after his or her term expires, the holdover period shall be part of the successor's term.
- (3) Resignation or removal. A member or the Chair of the Committee may resign from the Committee, or the Chief Judge, with the concurrence of the Court, may, due to the disability of the member or the Chair or other good cause, revoke an appointment at any time; the successor appointed will serve the unexpired term of his or her predecessor. Time served as a successor to a member or the Chair whose position became available due to death, resignation, or revocation of appointment will not be considered a "term" for the purposes of paragraph (2) of this subsection.

RULE 41. ISSUANCE OF MANDATE; STAY OF MANDATE

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- (a) Date of Issuance. The mandate of this Court will issue the Court (which is executed by the Clerk as a ministerial action and is merely evidence that a judgment has become final) will issue no earlier than 60 days after the date of entry of the judgment pursuant to Rule 36 unless the time is shortened or extended by order. If a timely Notice of Appeal to the United States Court of Appeals for the Federal Circuit is filed with the Clerk, the mandate of the Court will issue in accordance with 38 U.S.C. § 7291(a).
- **(b)** Mandate in Consent Dispositions. An order on consent (1) dismissing, terminating, or remanding a case or (2) granting or dismissing an uncontested application for attorney fees and expenses will also constitute the final judgment and mandate of the Court.

RULE 42. VOLUNTARY TERMINATION OR DISMISSAL

If the parties file with the Clerk a motion to terminate <u>a matter (other than</u> an appeal or petition application for attorney fees and expenses) based upon a settlement agreement to be effective upon the Court's termination of the case, the Clerk may enter the case terminated. On motion of the appellant or petitioner to dismiss<u>al</u>, an appeal or petition, or application for attorney fees and expenses may be dismissed by the Clerk on terms requested by the appellant or petitioner, agreed upon by the parties, or previously fixed by the Court.

RULE 43. SUBSTITUTION OF PARTIES

(a)_Death of a Party.

(1)_Before Notice of Appeal. If a party entitled to appeal dies before filing a Notice of Appeal, the Notice of Appeal may, to the extent permitted by law, be filed within the time limit in Rule 4 by any person claiming entitlement to accrued benefits under 38 U.S.C. § 5121(a), by the personal representative of the deceased party's estate, by any other appropriate person, or, if there is no such person, by the party's representative of record before the Board.

(2) permitted by law to do so.

- (2) After Notice of Appeal. If a party dies after a Notice of Appeal is filed or while a proceeding is pending in thise Court, any person claiming entitlement to accrued benefits under 38 U.S.C. § 5121(a), the personal representative of the deceased party's estate, or any other appropriate person may, to the extent permitted by law, be substituted as a party on motion by such person or by any party. If no such person exists, any party may suggest the death on the record. Any party may notify the Court of the death of an appellant, and proceedings will then be as the Court directs.
- **(b)_Substitution for Other Causes.** If substitution of a party in thise Court is necessary for any reason other than death, the Court may order it on motion of any party or substitution on its own initiative or on a party's motion.

- (c) Public Officers; Death or Separation from Office.
- (1) Naming as Party. The Secretary must be described as the appellee by name and by official title. Any public officer who is a respondent must be described by official title rather than by name; but the Court may require that the name of the public officer be added.
- (2) Death or Separation. of Public Officer.

When a public officer is a party in an official capacity and during the proceedings dies, resigns, or otherwise ceases to hold office, the proceedings are not stopped, and the public officer's successor is automatically substituted as a party. Proceedings following the substitution must will be in the name of the substituted party, but any misnomer not affecting the substantial rights of the parties will be disregarded.

RULE 44. JUDICIAL CONFERENCE

- (a) Purpose. Pursuant to 38 U.S.C. § 7286, there shall be convened, at such time and place as the Chief Judge designates, a conference to consider the business of the Court and to recommend means of improving the administration of justice within the Court's jurisdiction.
- **(b)** Committee. The Chief Judge will appoint a Judicial Conference Planning Committee to plan and conduct the conference. The Planning Committee may appoint such subcommittees as may be necessary to assure the efficient operation of the conference.
- (c)_Attendance. The Chief Judge presides at the conference. All persons admitted to practice before the Court, and such other persons as are designated by the Chief Judge, may be members of and participate in the conference.
- (d) Registration Fee. Each member of the conference shall other than judges of the Court must pay a registration fee in an amount fixed by the Court to defray expenses of the conference. The Chief Judge may excuse the payment of the fee in individual cases. These fees shall be maintained in a bank account which shall be known as the "CVA Judicial Conference Fund." Money from this account shall be disbursed by the Clerk at the direction of the Chief Judge to defray conference expenses. Any excess shall be used to pay future conference-related expenses.

(e) are governed by 38 U.S.C. § 7285.

(e) Responsibility of the Clerk. The Clerk shall be responsible for receipt and disbursement of conference funds, for all conference records and accounts, and for conference staff support, and shall performs such other duties pertaining to the conference as may be directed by the Chief Judge.

(f)_Delegation. The Chief Judge may delegate any or all of his <u>or her</u> responsibilities to another judge of the Court.

RULE 45. DUTIES OF CLERK

- (a) General Provisions. The Clerk shallmust take the oath required by law. Neither the Clerk nor any deputy clerk may practice as an attorney or counselor in any court while continuing in office. The Court will be deemedis always open for the purpose of filing any proper paper, of issuing and returning process, and of making motions and of entering orders. The office of the Clerk, with the Clerk or a deputy clerk in attendance, will be open during business hours on all days except Saturdays, Sundays, and legal holidays (as defined in and other days when the Court is closed (see Rule 26(a)) from 9:00 a.m. to 4:00 p.m. A night box will be available at the entrance to the Public Office from 4:00 p.m. to 6:00 p.m. on such businessall days that the Court is open.
 - (b) The Docket; Calendar; Other Records Required. The Clerk shall: will --
- (1) maintain a docket containing a record of all papers filed with the Clerk, and all process, orders, and judgments;
 - (2) maintain an index of cases contained in the docket;
- (3)_prepare, under the direction of the Court, a calendar of cases submitted or awaiting argument; and
 - (4)_keep such other books and records as may be required by the Court.
- (c) Notice of Court Actions. Immediately upon issuance of an opinion, memorandum decision, or order, or entry of the judgment, or issuance of the mandate, the Clerk shall will send a copy to each party to the proceeding, and shall note the date of issuance in the docket the issuance or entry date.
- (d)_Custody of Records and Papers. The Clerk shallwill have custody of the records and papers of the Court. The Clerk shallwill not permit any original record or paper to be taken from the Clerk's custody except as authorized by the United States Supreme Court, the United States Court of Appeals for the Federal Circuit, or thise Court. Original papers transmitted as the record on appeal will be returned upon disposition of the case. The Clerk shallwill preserve copies of briefs and appendices and other printed papers filed.
- (e) Court Seal. The Clerk shall be the custodian of the seal of the Court. The seal will appear as ordered by the Court. The seal will be the means of authentication of all records and certificates and process issued from thise Court.

- **(f)_Schedule of Fees.** The Clerk shall will maintain in the Public Office a schedule of fees approved by the Court.
- (g) Motions. <u>Tunless a case has been assigned to a judge or judges, the Clerk may act on motions, if consented to or unopposed, that seek to: --</u>
- (1) dismiss or terminate an appeal or an application for extraordinary relief petition with or without prejudice to reinstate it;
 - (2)_remand a case;
 - (3)_reinstate a case that was dismissed for failure to comply with the rules;
- (4) extend the time for taking any action required or permitted by the rules or by an order of the Court, unless the motion is made after the time limit has elapsed;
 - (5)_consolidate appeals;
 - (6)_withdraw or substitute an appearance; or
 - (7)_correct a brief or other paper.
- (h)_Applications for Attorney Fees and Expenses. The Clerk may act ongrant an application for attorney fees and expenses filed pursuant to 28 U.S.C. § 2412-(EAJAd) when the Secretary does not contest the application, and onmay grant joint motions to dismiss EAJAsuch applications.
- (i)_Sua Sponte Dismissal of Cases. The Clerk may dismiss a case for the appellant's failure to pay the filing fee or to file a brief. If a party's motion for reconsideration by the Clerk of a case dismissed by the Clerk under this subsection is not granted by the Clerk, the matter must be referred for decision by a judge.
- See also Rule 35(a).
- (j) Construction of Rules in Self-Representation Cases. <u>TConsistent with the practice of the Court, the Clerk shallwill</u> liberally construe the rules as they apply to <u>appellants representing themselves.self-represented appellants.</u>
- (k) Return of Papers. The Clerk is authorized to return to the author any paper that is not in compliance with these rules.

RULE 46. REPRESENTATION

(a) Admission of Attorneys to Practice Bar of Court.

- (1) General. A person of good moral character and repute who has been admitted to practice in the Supreme Court of the United States, or the highest court of any state, the District of Columbia; or a <u>United States</u> territory, possession, or commonwealth of within the <u>United States meaning of 48</u> <u>U.S.C. § 1904(e)(5)</u>, and is in good standing therein, may be admitted to the bar of thise Court upon application. See Rules of Admission and Practice.
- (2)_Application. An attorney at law may be admitted to the bar of the Court upon filing with the Clerk a completed application accompanied by the prescribed_applicable fee (payable by check or money order) and a current certificate from the clerk of the appropriate court showing that the applicant is a member in good standing of the bar of one of the courts named in subparagraph paragraph (1) of this subsection. A current court certificate is one executed within three_not earlier than 3 months preceding-before the date of the filing of the application.
- **(b)_Admission of Non-attorney Practitioners to Practice.** A non-attorney of good moral character and repute who is --
- (1) under the direct supervision (including presence at any oral argument) of an attorney admitted to the bar of the Court, or
- (2) employed by an organization whichthat is chartered by Congress, is recognized by the Secretary of Veterans Affairs for claims representation, and provides a statement signed by the organization's chief executive officer certifying to the employee's: --
- (A) understanding of the procedures and jurisdiction of the Court and of the nature, scope, and _____standards of its judicial review; and
 - (B)—__proficiency to represent appellants before the Court

may be admitted to practice before the Court upon filing with the Clerk a completed application accompanied by the prescribed applicable fee (payable by check or money order). In making the certification in subparagraph (2) statement under this paragraph, the chief executive officer should be aware that knowledge of and competence in veterans law and the administrative claims process does not in and of itself connote competence in appellate practice and procedure.

(c)_Appearance in a Particular Case. On motion and a showing of good cause, the Court may permit any attorney or non-attorney practitioner not admitted to practice before the Court, or any other person in exceptional circumstances, to appear on behalf of a party or amicus for the purposes of a particular case. Whenever a person is admitted to practice under this subsection, the person shall will be deemed to have conferred disciplinary jurisdiction upon the Court for any alleged misconduct in the course of, in the preparation for, or in connection with any proceeding in that case.

(d)_Representation Requirements.

- (1) Practitioner defined. A person who has been admitted to practice under subsections (a) or (b) or has been permitted to appear under subsections (c) of this Rule 46 is referred to in this subsection (d) as a practitioner.
- (2)_Appearance. No practitioner may appear on behalfas a representative of a party or amicus in any proceedings in a case without first filing: --
 - (A) a written notice of appearance in the detail prescribed by set out in Appendix of Forms; and
- (B) , served in compliance with Rule 25; and
 - (B) unless the representation is without charge to that party, a copy of the fee agreement if the practitioner is representing an appellant or petitioner or intervenor, unless the representation is without charge to that party. If the agreement provides for direct payment out of past-due benefits under 38 U.S.C. § 5904, a copy. A copy of the agreement must be served on the Secretary.
- An appearance may not be made in the name of a law firm or other organization.
- (3) Papers filed by a non-attorney. Each paper filed by a non-attorney <u>Filings by nonattorney</u> <u>practitioner</u>. Each notice of appearance and pleading filed by a nonattorney practitioner must include the name, address, and signature of the responsible supervising attorney under <u>Rule 46 subsection</u> (b)(1) or the identification of the employing organization under <u>Rule 46(b)(2)</u>.
 - (4) Withdrawal. Asubsection (b)(2).
- (4) Withdrawal. If another practitioner has previously entered an appearance on behalf of a party, a practitioner may withdraw from a case by filing a notice stating that the party has consented to the withdrawal, if another practitioner has previously entered an appearance on behalf of the party. Otherwise, a practitioner may not withdraw from a case without obtaining the Court's permission by filing a motion to withdraw that
 - (A)_lists the client's current address and telephone number; and
 - (B) assures avers to the Court that ___
 - (i)—the client has been notified of the motion to withdraw $\frac{1}{2}$ and
 - (ii)_copies of all papers filed by the parties, all notices and orders accumulated by- the practitioner, and all files belonging to the client have been sent to the client or to a named substitute practitioner.

The practitioner's authority and duty continue until he or she is relieved by the Court, subject to conditions that the Court considers appropriate.

- (5) <u>Change of address</u>. Each practitioner and self-represented party must notifygive the Clerk and all other parties <u>written notice</u> (not included in another filing) of any change of his or her address or telephone number. <u>Such a notice by a practitioner must list, by docket number and title, each pending case in which that practitioner is a representative in the Court.</u> Absent such notice, the mailing of documents to the address most recently provided by that person will be fully effective.
- (6) <u>Limited representation</u>. This Rule 46(d) <u>appearance</u>. Paragraphs (2) through (4) of this <u>subsection</u> does not apply when a practitioner files a Notice of Appeal on behalf of an appellant and specifies that the <u>representation appearance</u> is limited to that filing.
- (7) Subsections The practitioner must include his or her name, address, and telephone number on such a Notice of Appeal.
- (7) *VA practitioners*. Paragraphs (2)(B), (4), (5), and (6) of this Rule 46(d)subsection do not apply to practitioners representing the Secretary.
 - (e)_(Rescinded)
 - (f)_Appearance by Law Students.
- (1) <u>General.</u> An eligible law student, with the written consent of the appellant and the attorney of record, who must be a member of the bar of the Court, may appear in this <u>subsection</u>.
- (2) <u>Participation defined.</u> An eligible law student may participate in the preparation of briefs and other documents to be filed in thise Court, but such briefs or documents must be signed by the attorney of record. The student may also participate in oral argument with leave of the Court, but only in the presence of the attorney of record. The attorney of record shallmust assume personal professional responsibility for the law student's work and for supervising the quality of his or her work. The attorney shouldmust be familiar with the case and prepared to supplement or correct any written or oral statement made by the student.
- (3) <u>Conditions of appearance</u>. In order to make an appearance pursuant to this rule, the student must: <u>--</u>
 - (A) be duly enrolled in a law school approved by the American Bar Association;
 - (B)_have completed legal studies amounting to at least four semesters, or the equivalent if studies are scheduled on other than a semester basis;

- (C)_be certified_ by the dean of the law school <u>in which the law student is enrolled</u>, as being of good character and competent legal ability. <u>This (this certification must be filed with the Clerk and may be withdrawn at any time by the dean, upon written notice to the Clerk, or by the Court, without notice or hearing and without any showing of cause);</u>
 - (D) be introduced by the attorney of record in the case;
- (E)_neither ask for nor receive any compensation or remuneration of any kind for his or her services from the person on whose behalf such services are rendered, but this will not prevent an attorney, legal aid bureau, law school, a state, the District of Columbia, or a United States territory or commonwealth within the meaning of 48 U.S.C. § 1904(e)(5), or the United States from paying compensation to the eligible law student, nor will it prevent any agency from making such charges for its services as it may otherwise properly require;
- (F) certify -in- writing -that -he -or- she -has- read -and -is- familiar -with -the- code- of professional
- _____responsibility or rules of professional conduct in effect in the state or jurisdiction in which the student's law school is located:
- (g) Self-representation. and with the rules governing practice in the Court (see Rule 4 of the Rules of Admission and Practice).

RULE 46.1. SELF-REPRESENTATION

Any appellant, petitioner, or intervenor may be self-represented before the Court. <u>A self-represented party must give the Clerk and all other parties written notice (not included in another filing) of any change of his or her address or telephone number. Absent such notice, the mailing of documents to the address most recently provided by that person will be fully effective. See also Rules 25(b)(3) (filing by inmate), 28(h) (informal brief), 32(h) (identification in brief), 33(a) (staff conference), and 45(j) (rules construed liberally for self-represented appellants).</u>

RULE 47. EXPEDITED CONSIDERATION PROCEEDINGS

- (a) Motion and Order. On motion of a partyparty's motion for good cause shown, on written agreement of the parties, or on its own initiative, the Court may order that any matter before the Court be expedited with respect to some or all procedural steps. The following may constitute good cause:
- (1) a serious health condition that makes the death of the appellant or petitioner imminent, as shown by a physician's statement (including identification of the physician's licensing authority and current license number);

- (2) the advanced age (over 75 years) of the appellant or petitioner and a state of failing health due to a nontemporary condition, as shown by a physician's statement (including identification of the physician's licensing authority and current license number), such that expeditious proceedings are necessary to avoid an injustice to the appellant or petitioner; or
- (3) any other exceptional circumstances that make expeditious proceedings necessary to avoid an injustice to the appellant or petitioner, as shown by credible evidence.

Consideration of good cause under clauses (2) and (3) of this subsection may take into account the overall caseload of a judge or judges.

- **(b)_Filing and Service of Papers.** Expedited proceedings will be scheduled as directed by the Court. Unless otherwise ordered, the appellant's principal brief shallmust be served and filed withinnot later than 25 days after the date of the Clerk's notice that the record on appeal has been filed. T: the Secretary's brief shallmust be served and filed withinnot later than 15 days after service of the appellant's brief. A: and any reply brief shallmust be served and filed withinnot later than 10 days after service of the Secretary's brief.
- (c) Form and Length of Briefs. Briefs filed under this rule must comply with Rules 28 and 32, except that principal briefs must be limited to 105 pages, reply briefs must be limited to 105 pages, and a table of authorities is not required.
- (d)_Supplementation of the Transmitted Record. If expedited proceedings are ordered, any motion for supplementation of the record on appeal must be served and filed before the date on which the appellant's brief is due. See also Rule 11(b). Supplementation does not extend the time for filing any brief.

RULE 48. DISCLOSURE SEALING OF CERTAIN PROTECTED RECORDS CASES

(a) <u>Cases Involving Protected Records.</u> If, during the time periods set out in Rule 10 or at any other time during a proceeding before the Court, the parties identify records protected by 38-U.S.C. §-_7332 and at least one of the parties believes that disclosure of such records is required in such proceeding and, further, the parties cannot agree with respect to the disclosure of such records, the party requesting disclosure <u>shallmust</u> make immediate application therefor, pursuant to 38-_U.S.C. § _7332(b)(2)(D), caption the case "In re: Sealed Case No. [insert Court of Appeals for Veterans Claims case number]" (not disclosing the identity of any individual), and serve on the protected <u>patient or subjectperson</u> or successor in interest a copy of the application. Such application must include a statement specifying those steps taken by the parties to reach agreement before application was made to the Court. Upon receipt of such application, the Clerk, unless otherwise <u>ordered_directed</u> by the Court, <u>shallthe Clerk will</u> enter the case as "withdrawn" on the docket, assign a new case number and recaption the case using an encoded identifier, and seal the record on appeal and the file of the Court. Thereafter, any party or representative of a party, unless otherwise ordered by the Court, <u>shall refermust make reference in</u> any subsequent filing only to the new case number and caption assigned by the Clerk.

(b) Other Cases. The procedures described in this rule may, in the Court's discretion, be applied to cases that the Court orders sealed but which that do not contain records protected by 38 U.S.C. §-7332.

RULE 49. COMPLAINTS AGAINST JUDGES

Rules for the processing of complaints of judicial misconduct or disability have been adopted by the Court pursuant to 28 U.S.C. § 372(c). Copies are available from the Clerk on request.